

UNITED STATES BANKRUPTCY COURT  
FOR THE PERIOD BEGINNING October 1, 2015 AND ENDING October 31, 2015

IN RE:	}	CASE NUMBER <u>15-01120 (ESL)</u>
	}	
UNIVERSAL DOOR AND WINDOW	}	
MANUFACTURE, INC.	}	JUDGE <u>ENRIQUE S. LAMOUTTE</u>
	}	
a/k/a	}	
	}	
UNIVERSAL DOOR AND WINDOWS	}	
MANUFACTURING, INC.	}	CHAPTER 11
	}	
DEBTOR.	}	
	}	

DEBTOR'S MONTHLY OPERATING REPORTS (BUSINESS)  
FOR THE PERIOD  
FROM November 1, 2015 TO November 30, 2015

Comes now the above-named debtor and files its Monthly Operating Reports in accordance with the Guidelines established by the United States Trustee and FRBP 2015.

  
Attorney for Debtor's Signature

Debtor's Address  
and Phone Number:

Universal Door and Window Manufacture, Inc.  
HC 2 Box 24892  
San Sebastian, PR 00685  
Tel: (939) 273-2479

Attorney's Address  
and Phone Number:

Winston Vidal, Esq.  
USDC-PR 130401  
P.O. Box 193673  
San Juan, PR 00919-3673  
wvidal@prtc.net  
Tel: 787 751-2864/Fax: 787 763-6114

## SCHEDULE OF RECEIPTS AND DISBURSEMENTS

FOR THE PERIOD BEGINNING November 1, 2015 AND ENDING November 30, 2015

Name of Debtor: Universal Door and Window Manufacture, Inc.

Date of Petition: February 19, 2015 Case Number: 15-01120 (ESL)

	November	CUMULATIVE
1. CASH AT BEGINNING OF PERIOD	\$ 42.00	\$ -
2. RECEIPTS:		
A. Cash sales	-	-
Less: cash refunds	-	-
Net cash sales	-	-
B. Accounts receivable	-	-
C. Other receipts (see MOR-3)	4,000.00	28,265.00
(If you receive rental income, you must attach a rent roll.)		
3. TOTAL RECEIPTS	4,000.00	28,265.00
(2A+2B+2C)		
4. TOTAL CASH AVAILABLE FROM OPERATIONS (Line 1 + Line 3)	4,042.00	28,265.00
5. DISBURSEMENTS		
A. Advertising	-	-
B. Bank charges	3.00	21.00
C. Contract labor	-	-
D. Fixed asset payments (not included in "N")	-	-
E. Insurance	-	655.00
F. Inventory payments	-	-
G. Leases	-	-
H. Manufacturing supplies	-	-
I. Office supplies	-	-
J. Payroll net (see attachments 4B)	-	-
K. Professional fees (Accounting and Legal)	-	10,575.00
L. Rent	-	-
M. Repair and maintenance	-	-
N. Secured creditors payments (see attachment 2)	4,000.00	16,000.00
O. Taxes paid - Payroll (see attachment 4C)	-	-
P. Taxes paid - Sales and use (see attachment 4C)	-	-
Q. Taxes paid - Other (see attachment 4C)	-	-
R. Telephone	-	-
S. Travel and entertainment	-	-
T. US Trustee quarterly fees	-	975.00
U. Utilities	-	-
V. Vehicle	-	-
W. Other operating expenses (see MOR-3)	-	-
6. TOTAL CASH DISBURSEMENTS	4,003.00	28,226.00
7. ENDING CASH BALANCE	\$ 39.00	\$ 39.00
(Line 4 - Line 6)		

I declare under penalty of perjury that this statement and the accompanying documents and reports are true and correct to the best of my knowledge and belief.

This day of January, 2016

Signature

MOR-2

MONTHLY SCHEDULE OF RECEIPTS AND DISBURSEMENTS (con

Detail of Other Receipts and Other Disbursements

OTHER RECEIPTS:

Description	November	Cummulative Petition to Date
Rental income	\$ 4,000.00	\$ 28,265.00
	-	-
	-	-
	-	-
TOTAL OTHER RECEIPTS	\$ 4,000.00	\$ 28,265.00

Loan Amount	Source of Funds	Purpose	Repayment Schedule
-			
-			

OTHER DISBURSEMENTS

Description	November	Cummulative Petition to Date
	\$ -	\$ -
NONE	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
TOTAL OTHER DISBURSEMENTS	\$ -	\$ -

ATTACHMENT 1

MONTHLY ACCOUNTS RECEIVABLE RECONCILIATION AND AGING

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

ACCOUNTS RECEIVABLE AT PETITION DATE: \$ 10,000.00

ACCOUNTS RECEIVABLE RECONCILIATION

(Include all accounts receivable, pre petition and post petition, including charge card sales which have not been received):

Beginning of Month Balance	\$ -
PLUS: Current Month New Billings	
LESS: Collection During the Month	
PLUS / LESS: Adjustments or Writeoffs	-
End of Month Balance	\$ -

POST PETITION ACCOUNTS RECEIVABLE AGING

(Show the total for each aging category for all accounts receivable)

0-30 Days	31-60 Days	61-90 Days	Over 90 Days	Total
\$ -	\$ -	\$ -	\$ -	\$ -

For any receivables in the "over 90 days" category, please provide the following:

Customer	Receivable Date	Status (Collection efforts taken, estimate of collectibility, writeoff, disputed account, ect)



ATTACHMENT 2

MONTHLY ACCOUNTS PAYABLE AND SECURED PAYMENTS REPORT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

In the space below list all invoices or bills incurred and not paid since the filing of the petition. Do not include amounts owed prior to filing the petition. In the alternative, a computer generated list of payables may be attached provided all information requested below is included.

POST PETITION ACCOUNTS PAYABLE

Date Incurred	Days Outstanding	Vendor	Description	Amount
3/31/2015	244	MIB	Operation and Maintenance	\$ 1,555.68
4/30/2015	214	MIB	Operation and Maintenance	1,463.36
5/31/2015	183	MIB	Credit	(442.48)
6/30/2015	153	MIB	Operation and Maintenance	630.10
7/31/2015	122	MIB	Operation and Maintenance	51.65
8/31/2015	91	MIB	Operation and Maintenance	1,480.69
9/30/2015	61	MIB	Operation and Maintenance	1,385.95
10/31/2015	30	MIB	Operation and Maintenance	617.03
11/30/2015	0	MIB	Operation and Maintenance	1,294.16
				-
				8,036.14

Check here if prepetition debts have been paid. Attach an explanation and copies of supporting documentation.

ACCOUNTS PAYABLE RECONCILIATION (Post Petition Unsecured Debt Only)

Opening Balance	\$ 3,258.31
PLUS: New Indebtedness Incurred This Month	1,294.16
LESS: Amount Paid on Post Petition	-
Accounts Payable This Month	-
PLUS / LESS: Adjustments	3,483.67
End of Month Balance	\$ 8,036.14

Explanation and supporting documentation attached for any adjustments or writeoffs:  
To add invoices from August to October

SECURED PAYMENTS REPORT

List the status of payments to secured creditors and lessors (post petition only). If you have entered into a modification agreement with a secured creditor / lessor, consult with your attorney and the United States Trustee. Program prior to completing this section.

Secured Creditor / Lessor	Date Payment Due This Month	Amount Paid This Month	Number of Post Petition Payments Delinquent	Total Amount of Post Petition Payments Delinquent
BPPR		\$ 4,000.00	5	30,000.00
SBA		-	9	58,865.22
		-		-
		-		-
		-		-

Current Month \$ 4,000.00  
(MOR-2 Line 5N)

ATTACHMENT 3

INVENTORY AND FIXED ASSETS REPORT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

INVENTORY REPORT

INVENTORY BALANCE AT PETITION DATE: \$ 18,000.00

INVENTORY RECONCILIATION:

Inventory Balance at Beginning of Month	\$ 18,000.00
PLUS: Inventory Purchased During Month	
LESS: Inventory Used or Sold	
PLUS / LESS: Adjustments or Writedowns	
Inventory on Hand at End of Month	\$ 18,000.00

METHOD OF COSTING INVENTORY : Estimated Fair Value

For any adjustments or writedowns provide explanation and supporting documentation:

INVENTORY AGING

Less than 6 Months old	6 Months to 2 Years Old	Greater Than 2 Years Old	( Total ( Inventory
%	%	100%	% 100%

Check here if any inventory contains perishable.

Description of obsolete inventory: N/A

FIXED ASSET REPORT

FIXED ASSETS FAIR MARKET VALUE AT PETITION DATE : \$ 1,815,500.00  
(Includes Property, Plant and Equipment)

BRIEF DESCRIPTION (First Report Only) : 3 LOTS In Bo. Guatemala, San Sebastian: lot of 686.37 meters 2 , lot of 14,863.727 meters2, and a lot of 5,215.69 meters2; file cabinets, furniture and computers; 3 cranes 5 tons each.

FIXED ASSETS RECONCILIATION:

Fixed Asset Book Value at Beginning of Month	\$ 1,145,246.40
LESS: Depreciation Expense	(3,683.91)
PLUS: New Purchases	
PLUS / LESS: Adjustments or Writedowns	-
Fixed Asset Ending Monthly Balance	\$ 1,141,562.49

For any adjustments or writedowns provide explanation and supporting documentation:

BRIEF DESCRIPTION OF FIXED ASSETS PURCHASED OR DISPOSED OF DURING THE REPORTING PERIOD: NONE

ATTACHMENT 4A

MONTHLY SUMMARY OF BANK ACTIVITY - OPERATING ACCOUNT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

Attach a copy of current month bank statement and bank reconciliation to this summary of bank activity. If bank accounts other than the three required by the United States Trustee program are necessary, permission must be obtained from the United States Trustee prior to opening the accounts

NAME OF BANK : BANCO POPULAR DE PR SAN SEBASTIAN  
ACCOUNT NAME : GENERAL 079-485898  
PURPOSE OF ACCOUNT : OPERATING

Ending Bank Balance per Bank Statement	39.00
PLUS: Total Amount of Outstanding Deposits	-
LESS: Total Amount of Outstanding Checks and other debi	-
LESS: Service Charges	-
Ending Balance per Check Register	39.00

Debit cards used by: N/A

If closing balance is negative, provide explanation :

The following disbursements were paid in cash (do not include items reported as Petty Cash on Attachment 4D)  
☒ Check here if cash disbursements were authorized by United States Trustee

Date	Amount	Payee	Purpose
			NONE

TRANSFERS BETWEEN DEBTOR IN POSSESSION ACCOUNTS

\$	N/A	Transferred to Payroll Account
\$	N/A	Transferred to Tax Account





Document Page 9 of 19

Bank Reconciliation - Post Petition Account								
UNIVERSAL DOOR AND WINDOW MANUFACTURE, INC.			Date:		30-Nov-15			
Banco Popular #079-485898								
General Ledger Account			Outstanding Checks					
Balance			\$	42.00	CK #	Amount	Date	Payee
Add Credits:						-		
Deposits	\$	4,000.00						
Transfer		-						
Check Reversal		-						
TOTAL CR.		4,000.00	4,000.00					
TOTAL			4,042.00					
Less Debits:								
Disbursements	\$	4,000.00						
Bank Charges		3.00						
Payroll Fees								
Finance Charges								
ZBA Debit Transfer								
Adjustment		-						
Deposit Item Return								
TOTAL DR.			4,003.00					
Bank Balance - PER								
General Ledger Account			\$	39.00				
Balance Per Bank Statement			\$	39.00				
30-Nov-15								
Add Deposits in Transit:			0.00					
Total in Transit			0.00	-				
Less Checks Outstanding:								
(See List)		0.00						
TOTAL			0.00	-				
Bank Balance- Per								
Reconciliation			\$	39.00				
Difference:			\$	-	TOTAL	\$	-	



Estado Bancario

1C

Desde:

31 de octubre de 2015

Hasta:

30 de noviembre de 2015

UNIVERSAL DOOR WINDOW MANUFACTURE INC<sup>532</sup>  
HC 2 BOX 24892  
SAN SEBASTIAN PR 00685-9316

BANKRUPTCY COURT

Página 1

Número de Cuenta

079-485898

UNIVERSAL DOOR WINDOW  
MANUFACTURE INC

## Resumen de su Cuenta

### Cheques

Balance Inicial		\$42.00
01 Depósitos	+	4,000.00
01 Retiros	-	4,000.00
Cargos por Servicios	-	3.00
Balance Final		\$39.00

Recibe GRATIS en tu correo electrónico tu Estado de Cuenta comercial!!! Llame al 787-756-3939 ó 1-855-756-3939.

Ahora TeleBanco Comercial es el nuevo Centro de Banca de Negocios y nuestro nuevo número es el 787-756-3939 ó 1-855-756-3939.

## Detalle de la actividad de su Cuenta

### Cheques

Balance inicial

\$42.00

### Depósitos

#### Hojas de Depósito

Fecha	Referencia	Descripción	Cantidad
11-13	500128636	Depósito	4,000.00
01 Total de hojas de depósito			\$4,000.00
01 Total de depósitos			\$4,000.00

### Retiros

#### Cheques Pagados

Número de Cheque	Fecha	Referencia	Cantidad
00111	11-23	500207788	4,000.00
01 Cheques Pagados			\$4,000.00
01 Total de retiros			\$4,000.00

### Cargos por Servicios

Descripción	Cargos por Unidad	Cantidad
Mantenimiento Cheques		3.00
01 Transacciones en Exceso de	0	0.00
Total de Cargos para este Período		\$3.00
Balance Final		\$39.00

CENTRO DE BANCA DE NEGOCIOS le ofrece la forma más rápida y eficiente de obtener información de sus cuentas comerciales y servicios relacionados sin tener que visitar la sucursal.

Usted puede obtener información de:

- Balance en sus cuentas
- Productos y servicios comerciales
- Préstamos Comerciales
- Soluciones de crédito
- Todo tipo de reclamaciones
- Apoyo técnico en los servicios

Desde:  
31 de octubre de 2015

Hasta:  
30 de noviembre de 2015

BANKRUPTCY COURT

Página 2

Número de Cuenta  
**079-485898**

UNIVERSAL DOOR WINDOW  
MANUFACTURE INC

Historial de Balance Diario

Fecha	Cantidad en Libro	Cantidad Disponible	Fecha	Cantidad en Libro	Cantidad Disponible
11-02	42.00	42.00	11-17	4,042.00	4,042.00
11-03	42.00	42.00	11-18	4,042.00	4,042.00
11-04	42.00	42.00	11-19	4,042.00	4,042.00
11-05	42.00	42.00	11-20	4,042.00	4,042.00
11-06	42.00	42.00	11-23	42.00	42.00
11-09	42.00	42.00	11-24	42.00	42.00
11-10	42.00	42.00	11-25	42.00	42.00
11-12	42.00	42.00	11-27	42.00	42.00
11-13	4,042.00	1,042.00	11-30	39.00	39.00
11-16	4,042.00	1,042.00			

Su balance mínimo durante este periodo fue: \$42.00

Su próximo estado será el 31 de diciembre de 2015

Mensajes de Interés

DE TENER ALGUNA PREGUNTA O IDENTIFICAR ALGÚN ERROR EN ESTE ESTADO DE CUENTA DEBE COMUNICARSE AL CENTRO DE BANCA DE NEGOCIOS AL 787-756-3939 O 1-855-756-3939 DENTRO DEL TÉRMINO ESTABLECIDO EN EL CONTRATO DE CUENTAS DE DEPÓSITO COMERCIALES.



ATTACHMENT 4B

MONTHLY SUMMARY OF BANK ACTIVITY - PAYROLL ACCOUNT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

Attach a copy of current month bank statement and bank reconciliation to this Summary of Bank Activity.

NAME OF BANK : \_\_\_\_\_ BRANCH: \_\_\_\_\_  
ACCOUNT NAME : \_\_\_\_\_ ACCOUNT NUMBER: \_\_\_\_\_  
PURPOSE OF ACCOUNT : PAYROLL

Ending Bank Balance per Bank Statement	\$	-
PLUS: Total Amount of Outstanding Deposits		-
LESS: Total Amount of Outstanding Checks and other debits		-
LESS: Service Charges		-
Ending Balance per Check Register	NONE	-

Debit cards must not be issued on this account

If closing balance is negative, provide explanation : \_\_\_\_\_

The following disbursements were paid in cash (do not include items reported as petty cash on attachment 4D)  
☒ Check here if cash disbursements were authorized by United States Trustee

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

The following non-payroll disbursements were made from this account:

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

**ATTACHMENT 5B**

### CHECK REGISTER - PAYROLL ACCOUNT

**Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)**

**Reporting Period Beginning November 1, 2015 and Ending November 30, 2015**

NAME OF BANK : \_\_\_\_\_ BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

ACCOUNT NUMBER: \_\_\_\_\_

PURPOSE OF ACCOUNT : PAYROLL

Account for all disbursements, including voids, lost checks, stop payments, etc. In the alternative, a computer generated check register can be attached to this report, provided all the information requested below is included.

<u>DATE</u>	<u>CHECK NUMBER</u>	<u>PAYEE</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
				\$ -
		NONE		
TOTAL				\$ -

ATTACHMENT 4C

MONTHLY SUMMARY OF BANK ACTIVITY - TAX ACCOUNT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

Attach a copy of current month bank statement and bank reconciliation to this Summary of Bank Activity.

NAME OF BANK : \_\_\_\_\_ BRANCH: \_\_\_\_\_  
ACCOUNT NAME : \_\_\_\_\_ ACCOUNT NUMBER: \_\_\_\_\_  
PURPOSE OF ACCOUNT : TAX \_\_\_\_\_

Ending Bank Balance per Bank Statement	\$	-
PLUS: Total Amount of Outstanding Deposits		-
LESS: Total Amount of Outstanding Checks and other debits		-
LESS: Service Charges		-
Ending Balance per Check Register	NONE	-

Debit cards must not be issued on this account

If closing balance is negative, provide explanation : \_\_\_\_\_

The following disbursements were paid in cash (do not include items reported as petty cash on attachment 4D)  
☒ Check here if cash disbursements were authorized by United States Trustee

Date	Amount	Payee	Purpose	Reason for cash disbursements
------	--------	-------	---------	-------------------------------

The following non-tax disbursements were made from this account:

Date	Amount	Payee	Purpose	Reason for cash disbursements
------	--------	-------	---------	-------------------------------



ATTACHMENT 4D

INVESTMENT ACCOUNTS AND PETTY CASH REPORT

INVESTMENT ACCOUNT

Each savings and investment account, i.e. certificates of deposits, money market accounts, stocks and bonds, etc. should be listed separately. Attach copies of account statements.

Type of Negotiable Instrument	Face Value	Purchase Price	Date of Purchase	Current Market Value
		NONE		-
				-
				-
TOTAL				\$ -

PETTY CASH REPORT

The following petty cash drawers / accounts are maintained

Location of box/account	Column 2 Maximum amount of cash in drawer/account	Column 3 Amount of petty cash on hand at end of month	Difference between column 2 and 3
	-	\$ -	\$ -
		NONE	
TOTAL		\$ -	

For any petty cash disbursements over \$100 per transaction, attach copies of receipts. If there are no receipts, provide an explanation:

TOTAL INVESTMENT ACCOUNTS AND PETTY CASH

\$ -



**ATTACHMENT 6**

## MONTHLY TAX REPORT

**Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)**

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

## TAXES OWED AND DUE

Report all unpaid post petition taxes including Federal and State withholding FICA, State sales tax, property tax, unemployment tax, State workmen's compensation, etc.

<u>Name of Taxing Authority</u>	<u>Date Payment Due</u>	<u>Description</u>	<u>Amount</u>	<u>Date Last Tax Return Filed</u>	<u>Tax Return Period</u>
		NONE			
			-		
TOTAL			\$ -		

ATTACHMENT 7

SUMMARY OF OFFICER OR OWNER COMPENSATION

SUMMARY OF PERSONNEL AND INSURANCE COVERAGES

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning November 1, 2015 and Ending November 30, 2015

Report all forms of compensation received by or paid on behalf of the Officer or Owner during the month, Include car allowances, payments to retirement plans, loan repayments, payments of Officer/Owner's personal expenses, insurance premium payments, etc. Do not include reimbursement for business expenses Officer or Owner incurred and for which detailed receipts are maintained in the accounting records.

<u>Name of Officer or</u>	<u>Title</u>	<u>Payment description</u>	<u>Amount Paid</u>
		NONE	

PERSONNEL REPORT

	<u>Full Time</u>	<u>Part Time</u>
Number of employees at beginning of period	NONE	NONE
Number hired during the period	-	-
Number terminated or resigned during period	-	-
Number of employees on payroll at end of period	NONE	NONE

CONFIRMATION OF INSURANCE

List all policies of insurances in effect, including but not limited to workers' compensation, liability, fire, theft, comprehensive, vehicle, health and life. For the first report, attach copy of the declaration sheet for each type of insurance. For subsequent reports, attach a certificate of insurance for any policy in which a change occurs during the month (new carrier, increased policy limits, renewal, etc.).

<u>Agent and / or carrier</u>	<u>Phone number</u>	<u>Policy number</u>	<u>Coverage type</u>	<u>Expiration date</u>	<u>Date premium due</u>
Multinational Insurance Co.		88-CLP-000306960-5/000	General Liability	03/26/16	
Multinational Insurance Co.		88-CLP-000306960-5/000	Property	03/26/16	

The following lapse in insurance coverage occurred this month:

<u>Policy type</u>	<u>Date lapse</u>	<u>Date reinstated</u>	<u>Reason for lapse</u>
NONE			

Check here if US Trustee has been listed a Certificate Holder for all insurance policies.



**ATTACHMENT 8**

**SIGNIFICANT DEVELOPMENTS DURING REPORTING PERIOD**

Information to be provided on this page, includes, but not limited to: (1) financial transactions that are not reported on this report, such as the sale of real estate ( attach closing statement ); (2) non financial transactions, such as the substitution of assets or callateral; (3) modifications to loan agreements; (4) change in senior management, etc.

Attach any relevant documents:

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

---

The plan of reorganization and disclosure statement was filed on September 10, 2015